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On Some Classes of Polynomials

O pewnych klasach wielomianów

Об некоторых классах полиномов

Let $P_{n,a}$ denote a class of polynomials of the form:

$$p(z) = 1 + a_1 z + \dots + a_n z^n$$

which satisfy the condition

$$\operatorname{Re} p(z) > a, \text{ for } z \in K_1 = \{z: |z| < 1\},$$

where n is a fixed positive integer and a is a fixed real number belonging to the interval $\langle 0, 1 \rangle$.

F. Holland [2] proved the following

Theorem A. *If $p(z) = 1 + a_1 z + \dots + a_n z^n \in P_{n,a}$ then*

$$(1) \quad |a_k| \leq 2 \cos \frac{\pi}{\left[\frac{n}{k} \right] + 2}, \quad k = 1, 2, \dots, n,$$

where $\left[\frac{n}{k} \right]$ is the greatest integer $\leq \frac{n}{k}$. The estimate (1) is sharp.

Long before (1928) E. Egervary and O. Szasz [1] proved

Theorem B. *Let $Q(\theta) = 1 + \sum_{k=1}^n (\alpha_k \cos k\theta + \beta_k \sin k\theta)$ be a non-negative trigonometrical polynomial. Then*

$$\sqrt{\alpha_k^2 + \beta_k^2} \leq 2 \cos \frac{\pi}{\left[\frac{n}{k} \right] + 2}, \quad k = 1, 2, \dots, n$$

and the estimate is sharp.

Remark 1. Theorem A is a simple corollary of Theorem B. It is enough to remark that if we put $z = e^{i\theta}$, $a_k = \alpha_k - i\beta_k$, $k = 1, 2, \dots, n$, then

$$Q(\theta) = \operatorname{Re} p(z) = \operatorname{Re} p(e^{i\theta}).$$

Thus the condition $\operatorname{Re} p(z) > 0$, for $|z| < 1$, implies that $\operatorname{Re} p(z) \geq 0$ for $|z| = 1$ and by this $Q(\theta) \geq 0$. Furthermore, $|a_k| = |\bar{a}_k| = \sqrt{\alpha_k^2 + \beta_k^2}$.

The Theorems A and B may be generalized on the classes $P_{n,a}$.

Theorem 1- *If a polynomial*

$$w(z) = 1 + a_1 z + \dots + a_n z^n \in P_{n,a}$$

then

$$(2) \quad |a_k| \leq 2(1-a) \cos \frac{\pi}{\left[\frac{n}{k}\right] + 2}, \quad k = 1, 2, \dots, n.$$

The estimate is sharp. The extremal polynomials, which give equality in (2) have a form:

$$(3) \quad w_\xi(z) = q(z) \left\{ 1 + (1-a) \sum_{l=1}^{\left[\frac{n}{k}\right]} \tilde{a}_l (e^{i\varphi} z)^{kl} \right\}$$

where

$$\tilde{a}_l = \frac{2}{\left[\frac{n}{k}\right] + 2} \binom{n}{k} - l + 1 \cos \frac{l\pi}{\left[\frac{n}{k}\right] + 2} + \sin \frac{(l+1)\pi}{\left[\frac{n}{k}\right] + 2} / \sin \frac{\pi}{\left[\frac{n}{k}\right] + 2}$$

and $q(z)$ is a polynomial whose degree is $\leq n - [n/k]k$ ($n - [n/k] \cdot k \in \{0, 1, \dots, k-1\}$) and chosen so that the polynomial $w_\xi(z)$ given by (3) satisfies the conditions of our theorem. For $n \rightarrow \infty$ we obtain the result of Libera [5].

Proof. We first remark that $w(z) \in P_{n,a}$ if and only if $p(z) = (w(z) - a)/(1-a) \in P_{n,0}$.

If we put $w(z) = 1 + a_1 z + \dots + a_n z^n$, $p(z) = 1 + b_1 z + \dots + b_n z^n$ then the coefficients a_k, b_k are related by the equality $a_k = (1-a)b_k$. The polynomial $p(z)$ satisfies the conditions of Theorem A and therefore (1) implies (2).

In the paper [1] p. 646, the extremal trigonometrical polynomials in Theorem B are determined. Using these extremal polynomials and the relation

$$(4) \quad w(z) = a + (1-a)p(z)$$

between the classes $P_{n,a}$ and $P_{n,0}$ one can find that the extremal polynomials have the form (3).

Basing on the results of papers [1], [2] we can find the estimates of some functionals such as: $\max_{|z|<1} |w(z)|$, $|a_k| + |a_{n-k+1}|$ and

$$\max_{|z|<1} |\operatorname{Re}\{izw'(z)\}| = \max_{|z|<1} |\operatorname{Im}\{zw'(z)\}| \text{ for the class } P_{n,a}.$$

Theorem 2. Let $w(z) = 1 + a_1z + \dots + a_nz^n \in P_{n,a}$.

Then

$$(5) \quad |a_k| + |a_{n-k+1}| \leq 2(1-a), \quad k = 1, 2, \dots, n,$$

$$(6) \quad \max_{|z|<1} |w(z)| \leq 1 + (1-a)n$$

$$(7) \quad \max_{|z|<1} |\operatorname{Re}\{izw'(z)\}| = \max_{|z|<1} |\operatorname{Im}\{zw'(z)\}| \leq (1-a) \sqrt{\frac{n+1}{2} \binom{n+2}{3}}.$$

The estimate (6) is sharp. The extremal polynomials have the form

$$(8) \quad w_\varphi(z) = 1 + 2(1-a) \sum_{k=1}^n \left(1 - \frac{k}{n+1}\right) (e^{i\varphi}z)^k$$

where φ is an arbitrary fixed real number.

Proof. The inequality (5) follows from (4) and from inequality (22) of [1], p. 650. The inequality (7) follows from (4) and from inequality (23) of [1], p. 651. Next, the inequality (6) follows from (4) and from Theorem 2 of [2], p. 54.

With the class $P_{n,a}$ we can connect some classes of univalent polynomials.

Denote by $R_{n,a}$ the class of univalent polynomials

$$f(z) = z + a_2z^2 + \dots + a_nz^n$$

satisfying the condition

$$\operatorname{Re}f'(z) > a \quad \text{for } z \in K_1.$$

Thus we have

$$(9) \quad f \in R_{n,a} \Leftrightarrow f(0) = 0 \wedge f' \in P_{n-1,a}.$$

Let $H_{n,a}$ be the class of univalent polynomials

$$h(z) = z + a_2z^2 + \dots + a_nz^n$$

such that $\operatorname{Re}[zh'(z)]' > a$ for $z \in K_1$. In this case we have

$$(10) \quad h \in H_{n,a} \Leftrightarrow h(0) = 0 \wedge [zh'(z)]' \in P_{n-1,a}.$$

Furthermore, denote by $G_{n,a}$ the class of univalent polynomial $g(z) = z + a_2 z^2 + \dots + a_n z^n$ such that $\operatorname{Re}\{g'(z) + \frac{1}{2} z g''(z)\} > a$ for $z \in K_1$.
Now

$$(11) \quad g \in G_{n,a} \Leftrightarrow g(0) = 0 \wedge \{g'(z) + \frac{1}{2} z g''(z)\} \in P_{n-1,a}$$

Theorem 3. *If $f(z) = z + a_2 z^2 + \dots + a_n z^n \in R_{n,a}$ then*

$$(12) \quad |a_k| \leq \frac{2(1-a)}{k} \cos \frac{\pi}{\left[\frac{n-1}{k-1} \right] + 2}, \quad k = 2, 3, \dots, n$$

This estimate is sharp. The equality occurs only for the polynomials of the form

$$(13) \quad f_\xi(z) = \int_0^z w_\xi(\zeta) d\zeta,$$

where $w_\xi(z)$ is given by (3).

Remark 2. If n approaches ∞ then we obtain the estimates of coefficients for the class R_a of univalent functions ($\operatorname{Re} f'(z) > a$) Namely, if $f(z) = z + \sum_{k=2}^{\infty} a_k z^k$ and $\operatorname{Re} f'(z) > a$, then $|a_k| \leq \frac{2(1-a)}{k}$.

For $a = 0$ and n approaches ∞ , we obtain the classical result, that the coefficients of the functions with bounded rotation are dominated by $2/k$ (see e.g. [6]).

Proof of Theorem 3. By the relation (9) between the classes $P_{n-1,a}$, $R_{n,a}$ we have $f \in R_{n,a}$ if and only if there exists a polynomial $w \in P_{n-1,a}$ such that

$$(14) \quad f(z) = \int_0^z w(\zeta) d\zeta.$$

Therefore if $f(z) = z + a_2 z^2 + \dots + a_n z^n$ and $w(z) = 1 + \tilde{a}_1 z + \dots + \tilde{a}_{n-1} z^{n-1}$, then $a_k = \tilde{a}_{k-1}/k$, $k = 2, 3, \dots, n$.

Using the estimate (2) for \tilde{a}_{k-1} we have finally

$$|a_k| \leq \frac{2(1-a)}{k} \cos \frac{\pi}{\left[\frac{n-1}{k-1} \right] + 2}.$$

The equality occurs in (12) only if the equality occurs in (2). This together with (9) yields (13).

Theorem 4. *If $h(z) = z + a_2 z^2 + \dots + a_n z^n \in H_{n,a}$, then*

$$(15) \quad |a_k| \leq \frac{2(1-a)}{k^2} \cos \frac{\pi}{\left[\frac{n-1}{k-1} \right] + 2}, \quad k = 2, 3, \dots, n.$$

The equality occurs in (15) only for the polynomials of the form

$$(16) \quad h_{\xi}(z) = \int_0^z \left\{ \frac{1}{\zeta} \int_0^{\zeta} w_{\xi}(\eta) d\eta \right\} d\zeta = \int_0^z \frac{f_{\xi}(\zeta)}{\zeta} d\zeta,$$

where $w_{\xi}(z)$ is given by (3) and $f_{\xi}(z)$ is given by (13).

Proof. The relation (10) between the classes $P_{n-1,a}$ and $H_{n,a}$ may be written in the form

$$h(z) = \int_0^z \left\{ \frac{1}{\zeta} \int_0^{\zeta} w(\eta) d\eta \right\} d\zeta$$

and by this, if $h(z) = z + a_2 z^2 + \dots + a_n z^n$ and $w(z) = 1 + \bar{a}_1 z + \dots + \bar{a}_{n-1} z^{n-1}$ then

$$(17) \quad a_k = \bar{a}_{k-1} / k^2.$$

Now (17) and (2) implies (15). The equality occurs in (15) only if the equality occurs in (2) and therefore by (3) and (10) we obtain (16).

Theorem 5. If $g(z) = z + a_2 z^2 + \dots + a_n z^n \in G_{n,a}$, then

$$(18) \quad |a_k| \leq 4 \frac{1-a}{k(k+1)} \cos \frac{\pi}{\left[\frac{n-1}{k-1} \right] + 2}.$$

The equality occurs in (18) only for the polynomials $g_{\xi}(z)$ of the form

$$(19) \quad g_{\xi}(z) = \frac{2}{z} \int_0^z f_{\xi}(\zeta) d\zeta = \frac{2}{z} \int_0^z \left\{ \int_0^{\zeta} w_{\xi}(\eta) d\eta \right\} d\zeta,$$

where $w_{\xi}(z)$ is given by (3) and $f_{\xi}(z)$ is given by (13).

Proof. Similarly as above one can remark that if $g(z) = z + a_2 z^2 + \dots + a_n z^n$ and $w(z) = 1 + \bar{a}_1 z + \dots + \bar{a}_{n-1} z^{n-1}$ are connected by (11), then

$$(20) \quad a_k = \frac{2}{k(k+1)} \bar{a}_{k-1}.$$

Hence, by (20), (11) and (2) we obtain (18) and the formula (19) for the extremal polynomials.

Now, we will consider some special subclasses $P_{n,a}^l$ of the class $P_{n,a}$ with the gaps. Namely, let $P_{n,a}^l$ denote a class of polynomials of the form $w(z) = 1 + a_l z^l + a_{l+1} z^{l+1} + \dots + a_n z^n$, ($l \leq n$) which belong to $P_{n,a}$.

Theorem 6. If $w(z) = 1 + a_l z^l + \dots + a_n z^n \in P_{n,a}^l$ then

$$(21) \quad |a_k| \leq 2(1-\alpha) \cos \frac{\pi}{\left[\frac{n}{k} \right] + 2}, \quad k = l, l+1, \dots, n$$

The proof follows by the fact that the extremal polynomials given by (3) are the polynomials with a gap, if $q(z)$ is a polynomial with a gap, too.

Similar theorems can be obtained for the classes $R_{n,a}^l, H_{n,a}^l, G_{n,a}^l$ of the corresponding polynomials with gaps.

Remark 3. Every polynomial of the classes $R_{n,a}, H_{n,a}, G_{n,a}$ is univalent in K_1 . All these classes are the subclasses of the class of close-to-convex functions. This follows from the fact that the transformations: $\int_0^z [f(\zeta)/\zeta] d\zeta$ and $2/z \int_0^z f(\zeta) d\zeta$ preserve the class of close-to-convex functions, see for example [3], [4].

MacGregor [6] and others considered the functions $f(z) = z + a_2 z^2 + \dots$ such that $\operatorname{Re} f(z)/z > 0$ for $z \in K_1$. Here, we consider a similar class of polynomials.

Let $L_{n,a}$ denote a class of polynomials of the form $l(z) = z + a_2 z^2 + \dots + a_n z^n$, such that $\operatorname{Re} f(z)/z > \alpha$ for $z \in K_1$.

We can write

$$l(z) \in L_{n,a} \Leftrightarrow l(z) = zw(z) \text{ and } w \in P_{n-1,\alpha}.$$

In this class the coefficients a_k are estimated as follows

$$|a_k| \leq 2(1-\alpha) \cos \frac{\pi}{\left[\frac{n-1}{k-1} \right] + 2}, \quad k = 2, 3, \dots, n.$$

The polynomials of the class $L_{n,a}$ are not necessary univalent in K_1 . It would be interesting to find the radii of univalence, starlikeness and convexity.

For example, if $n = 2$ then the radii of univalence and starlikeness are equal to $\min\{1, 1/2(1-\alpha)\}$.

Some of these problems were solved in the case $\alpha = 0$ and $n \rightarrow \infty$, [6].

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STRESZCZENIE

Niech $P_{n,a}$ oznacza klasę wielomianów postaci $w(z) = 1 + a_1z + \dots + a_nz^n$ spełniających warunek $\operatorname{Re} w(z) > a$ dla $|z| < 1$. W pracy tej oszacowano współczynniki w klasie $P_{n,a}$ oraz w pewnych podklasach wielomianów jednolistnych w kole jednostkowym związanych z tą klasą.

W szczególności, jeżeli $w(z) \in P_{n,a}$ to

$$|a_k| \leq 2(1-a) \cos \frac{\pi}{\left[\frac{n}{k} \right] + 2}, \quad k = 1, 2, \dots, n.$$

РЕЗЮМЕ

Пусть $P_{n,a}$ обозначает класс полиномов вида $w(z) = 1 + a_1z + \dots + a_nz^n$ исполняющих условие $\operatorname{Re} w(z) > a$, где $|z| < 1$. В этой работе дано оценки коэффициентов в классе $P_{n,a}$ и в некоторых подклассах однолистных полиномов связанных с классом $P_{n,a}$. В особенности,

если $w(z) \in P_{n,a}$ то $|a_k| \leq 2(1-a) \cos \frac{\pi}{\left[\frac{n}{k} \right] + 2}$, $k = 1, 2, \dots, n$.

